

Implementation of Energy-Efficient Resource Allocation for OFDM-Based Cognitive Radio Networks

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Abstract— Cognitive radio is viewed as a novel approach for improving the utilization of the radio electromagnetic spectrum. The cognitive radio, built on a software-defined radio, is defined as an intelligent wireless communication system that is aware of its environment and uses the methodology of understanding-by-building to learn from the environment and adapt to statistical variations in the input stimuli. Following the discussion of interference temperature as a new metric for the quantification and management of interference, the paper addresses three fundamental cognitive tasks. Radio-scene analysis, Channel-state estimation and predictive modelling, Transmit-power control and dynamic spectrum management. This work also discusses the emergent behavior of cognitive radio to implement the Energy-Efficient Resource Allocation for OFDM-Based Cognitive Radio Networks. MATLAB tool is used to implement the entire system and simulation results obtained.

Index Terms—Cognitive radio, energy-efficiency, OFDM, MATLAB, Channel-state estimation.

I. INTRODUCTION

MULTIPLE receive antennas can be employed with orthogonal frequency-division multiplexing (OFDM) to improve system performance, where space diversity is achieved using subcarrier-based space combining. However, in subcarrier-based space combining, it is required that multiple discrete Fourier transform (DFT) processing, each per receive antenna, be used. As a result, such systems are quite complicated, because the complexity of DFT is a major concern for system implementation. Recently, some schemes have been proposed to reduce the number of DFT blocks required. In the principle of orthogonal designs, the number of DFT blocks is reduced to a half with 3 dB performance degradation. In the received time-domain OFDM symbols from each antenna are first weighted and then combined before the DFT processing. By doing so, the number of DFT blocks required is reduced to one. In OFDM with multiple transmit and multiple receive antennas (MIMO) is investigated, and a reduced-complexity algorithm is proposed to reduce the number of DFT blocks required to one.

Motivated by the work in and based upon Eigen analysis, we propose a receive space-diversity scheme to effectively trade off system performance and complexity. The scheme in can be regarded as a special case of our proposed scheme. However, we will show that the good

performance is only applicable when the number of distinct paths in the channel is very limited. When the number of distinct paths is large, it will be shown that more DFT blocks are needed. In our proposed scheme, the received signals are weighted and combined both before and after the DFT processing, and the margin of the performance improvement decreases along with the increase of the number of DFT blocks. As a result, system complexity and performance can be effectively traded off. When the weighting coefficients are obtained assuming perfect channel information, we will show that the maximum number of DFT blocks required is the minimum of the number of receive antennas and the number of distinct paths in the channel. Such an achievement is obtained without performance loss, compared with subcarrier-based space combining. When the number of distinct paths is larger than the number of receive antennas, the number of DFT blocks required is not necessarily equal to the number of receive antennas. For example, extensive simulation results will show that good performance can be achieved by using only two DFT blocks for four receive antennas and eight-ray channels. An OFDM system with differential modulation, where the weighting coefficients before the DFT processing are obtained using the signal covariance matrix. In this case, when the number of distinct paths is less than the number of receives antennas, the proposed scheme can achieve better performance than the subcarrier-based space combining scheme, but with lower complexity.

As a result, the scarcity of radio spectrum and the inefficiency of the regularized spectrum usage manner, some insightful spectrum utilization schemes have been introduced to improve spectrum usage efficiency [1]. As a highly promising technique, Cognitive Radio (CR) attracts more and more attentions in recent years, which allows Secondary Users (SUs) to sense radio spectrum environment and dynamically adjust transmission parameters to access the licensed spectrum, as long as the interference to Primary Users (PUs) can be kept under their tolerances, such as interference temperature. Orthogonal Frequency Division Multiplexing (OFDM) has been widely recognized as a fascinating air interface for CR systems due to its flexibility of allocating radio resource among SUs, which is the prerequisite for the CR system to acquire high performance.

Resource Allocation (RA) is one of the most important problems in OFDM-based wireless networks. An optimized RA scheme can maximize the throughput of an OFDM system, minimize the transmission power, or support more users with given Quality-of-Service (QoS) guarantee. For OFDM-based CR networks, there are many research results on how to improve the system throughput. RA for OFDM-based CR network is formulated as a multidimensional knapsack problem. A greedy heuristic algorithm is proposed, which can produce solution close to the optimal. However, the computational cost would be very high for multiple SUs case. In [6], optimal and suboptimal power loading algorithms are presented for the single SU case. Downlink sum capacity is maximized under the constraint of PUs' interference thresholds. An efficient algorithm is proposed to allocate bits among all OFDM sub-channels in CR systems. The proposed algorithm can obtain the optimal solution with low computational complexity in most cases. A fast algorithm is developed to tackle the optimal power allocation problem in an OFDM-based CR network. A low complexity algorithm is proposed to maximize the throughput of a CR system while guaranteeing proportional fairness among SUs.

In contrast to the flourish on capacity enhancing, little attention has been paid to energy efficiency of the CR systems. Nowadays, excessive energy consumption becomes a critical issue because of the consequent environment problems and operational cost. Green communication, which emphasizes on incorporating energy awareness in communication systems, is becoming more and more important. Different from the throughput-oriented RA targets, energy efficient RA aims at maximizing the energy efficiency of a wireless system. Weighted energy efficiency is maximized under pre-scribed users' QoS requirements. A non-cooperative game is developed for energy efficient power optimization. The tradeoff between energy efficiency and spectral efficiency is investigated for the downlink of a multiuser distributed antenna system.

In this paper, we study the energy efficient RA for the OFDM-based CR systems. We try to maximize the energy efficiency of the considered system, while satisfying the throughput requirements of the SUs. Besides, the interference introduced to the PUs is kept below a tolerable threshold to prohibit the unacceptable performance degradation of the PUs. Proportional fairness among users is also considered. The formulated optimization task is computationally intractable since it is a mixed integer programming problem. To make it tractable, we relax the problem by using time-sharing method to convert it into a quasi-convex optimization one. A bisection-based algorithm is developed to work out the optimal solution. We also exploit the structure of the problem and derive a fast barrier method to reduce the computational complexity.

Our ultimate aim is to increase the channel capacity and energy efficiency of CR users by limiting the interference introduced to the primary user system. From the simulation results we can conclude that the proposed optimal algorithms offer better energy efficiency and channel capacity which is very close to the uniform optimal algorithms.

The rest of this paper is organized as follows. In Section II, we illustrate system model and formulate our optimization task. In Section III, we propose crucial Design Methodology Section IV, proposed algorithm and optimal power distribution algorithms Fast Barrier Method are presented. Simulation results are given in Section V, as well as discussions. Finally, we conclude the paper in Section V.

II. SYSTEM MODEL

Energy efficiency and Spectral efficiency are plays an important role in wireless communication systems. The increase in demand for frequency bands with high data rate requirements, we are in a need to efficiently utilize the available spectrum with better energy efficiency. So cognitive radio (CR) networks are plays vital role in utilizing the available unused spectrum efficiently with better energy efficiency.

In this paper, Figure A shows the block diagram of OFDMA based CR Systems for uplink as well as downlink. It shows the signal transmission between PU and Primary User (PU)-Base Station (BS), SU and Cognitive Radio (CR)-Base Station (BS). It also shows the interference between PU's and SU's. In this each Primary radio networks (PRN) cell has one PU-BS and multiple Primary Users. Each Cognitive radio network (CRN) cell has one CR-BS and multiple SU's. The primary cell system coexists with the CR cell system in the same geographical location. CRN cell system control the interference to the PU's as well as the inter cell interference and signal transmission to SU's. The secondary users (SU's) can be able to access the available CR Bands without causing harmful interference to the primary users,

Figure B shows the spectrum frequency band for PU's and SU's. The CR frequency spectrum band is divided into N subcarriers which are applied to OFDMA system having a bandwidth of „ B “. The side by side distribution of spectrum frequency band for PU bands and the CR bands will be assumed by showing in figure 2. The frequency bands B_1, B_2, \dots, B_N has been occupied by the PU's are called as PU bands while the other bands are called as SU bands (CR bands). It is assumed that the CR system can use the inactive PU bands provided that the total interference introduced to the M^{th} PU band does not exceed remaining all the bands. We also achieve a tolerable interference power and interference temperature limit. We can use Cognitive Radio networks, to establish communication among unauthorized users without affecting the use of spectrum. Energy efficient power

allocation is necessary for OFDM based Cognitive Radio networks to achieve the maximum energy efficiency and less interference.

A. System Model of FDM based CR systems

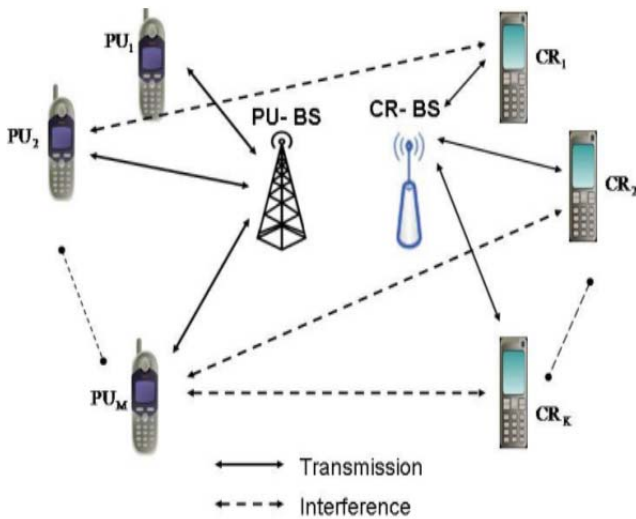


Figure A. Block Diagram of OFDMA based CR systems

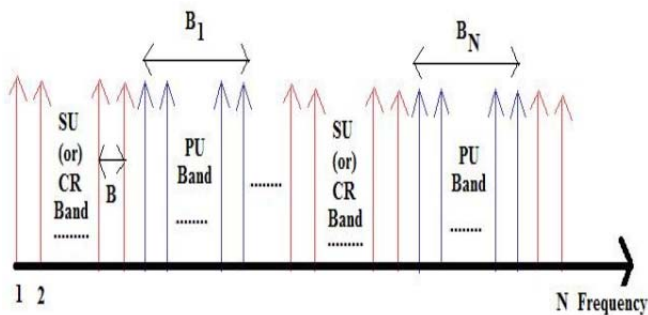


Figure B. Spectrum Frequency band for PU's & SU's

III. DESIGN METHODOLOGIES

Fast Barrier Method

Barrier method is treated as a standard technique to solve convex optimization problems. The Computational Complexity of the barrier method mainly lies in the computation of Newton step that needs matrix inversion with a complexity of $O((2KN + N)3)$ for our considered problem. For a practical OFDM system, the number of sub-channels is always several thousand and such a complexity is too high to apply, especially for the RA problem that should be tackled in an online manner. In this work, we develop an efficient algorithm to compute the Newton step by exploiting the special structure of the problem, making the barrier method promising to perform the concerned optimization task.

IV. SIMULATION RESULTS

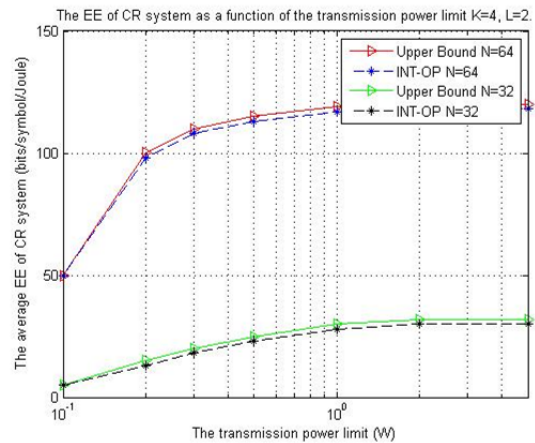


Figure 1: EE of CR System as Function of Transmission Power Limit.

Fig.1 illustrates the EE of the CR system versus the transmission power limit for different numbers of sub-channels. The numbers of SUs and PUs are set to 4 and 2, respectively. The interference threshold of each PU is $5 \times 10^{-12}W$ and the rate requirement of each SU is 20bits/symbol. The static circuit power is fixed to 0.25W. There are $N=32$ and $N=64$ sub-channels in the two cases. As can be seen in Fig.1, the EE of the CR system varies acutely at the beginning (growing) because the CR system outage can be reduced as the increase of the transmission power budget. When the transmission power budget is sufficient enough, all SUs' rate requirements can be always satisfied and the EE of the CR system keeps almost invariable as seen in Fig.1. Additionally, the EE can be improved when there are more OFDM sub-channels, which is a result of channel diversity in wireless environment. Notice that the INT-OP achieves more than 98% of the Upper Bound, the upper bound can't be a feasible solution because the relaxed form of the original problem ignores the integer constraints indicating our proposed algorithm performs quite well for the considered problem. We also depict the EE of the CR system versus the interference threshold for different numbers of PUs ($L=1, L=2$ and $L=4$) in Fig.2. The number of sub-channels is $N=64$. There are 4 SUs with uniform rate requirement $R_k, \min = 20$ bits/symbol.

The transmission power limit is 1W and the static circuit power is 0.25W. It is shown in Fig.2 that the EE increases with the increasing of the interference threshold. The reason is that the lower the interference threshold is, the more frequently the CR system suffers outage. It can be also seen from Fig.2 that more PUs can decrease the EE of the CR system, which can be explained that more sub-channels are interference limited [10] in these cases and the sub-channels with poor channel gains consume much power to maintain the required rates of the SUs. Again, we can see our proposed INT-OP can obtain solutions close to the Upper Bound. Fig.3 shows the EE of the CR system as

a function of the minimal rate requirements of SUs for different numbers of SUs. There are 64 sub-channels with the total transmission power limit $P_t = 1W$ and static circuit power $P_c = 0.25W$.

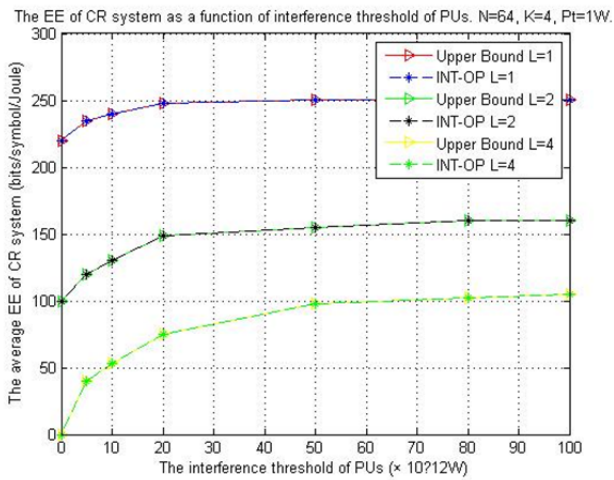


Fig. 2. The EE of CR system as a function of interference threshold of PUs. $N=64, K=4, P_t=1W$.

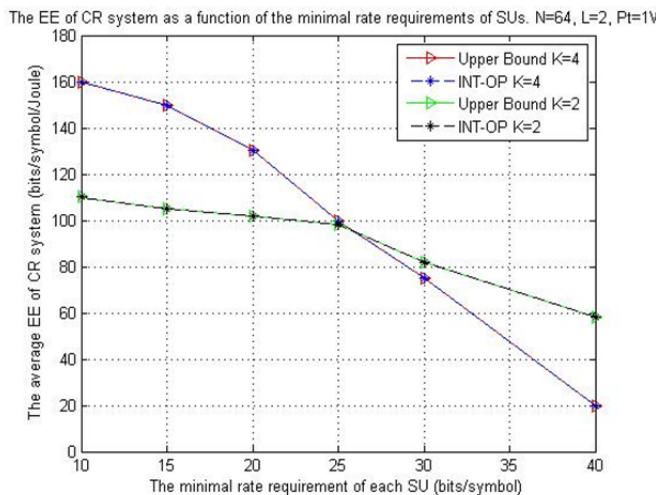


Fig. 3. The EE of CR system as a function of the minimal rate requirements of SUs. $N=64, L=2, P_t=1W$

The number of PUs is 2 with the interference threshold $I_{th} = 5 \times 10^{-12}W$. The INT-OP always performs quite close to the Upper Bound. We can observe that the EE of the CR system decreases with the growth of the rate requirements for both $K = 2$ and $K = 4$. Because the growth of rate requirements not only results in exponentially increase of power consumption, but also more frequently exhausts the radio resource (sub-channels and power), even leads to system outage. Comparing the curves of the two cases, we can find the EE becomes larger with the growth of the number of SUs when the rate requirement is relatively small. However, when the rate

requirement increases, more SUs can contrarily lower the EE of the CR system, which occurs as a cut-off of the rate requirement. This phenomenon can be explained as follows. When the SUs' rate requirements are small, the CR network benefits from multiuser diversity for more SUs case because a sub-channel is more likely to be allocated to an SU who has good channel gain over it. Thus, less power is required to maintain the SUs' rate requirements and the EE of the CR system is larger than less SUs case. However, when an SU's rate requirement is high, more sub-channels and much power are mandatorily allocated to the SU in order to meet the rate requirement. At this time, the sub-channels with poor link gains inevitably consume much power to meet all SUs' rate requirements, which rather results in the decreasing of the EE. In other words, the degree of freedom for the SUs to get sub-channels with good channel gains reduces from the viewpoint of the CR system for the high rate requirements case.

Then we investigate the convergence of our proposed algorithms in Fig.4 and Fig.5. As discussed the computation load of the *barrier* method mainly lies in the computation of Newton step. If the number of Newton iterations is large or varies in a wide range, the algorithm would be difficult to be applied in practical wireless systems.

Fig.4 and Fig.5 show that it is not the case for our proposed algorithm for all concerned settings. Fig.4 shows the number of Newton iterations of the *barrier* method to converge in 500 random instances for both solving the relaxed RA problem and the optimal power allocation (PA). Fig.5 gives the cumulative distribution function (CDF) of the number of Newton iterations for the optimal RA based on time-sharing in (a) and optimal power allocation in (b) with different settings of N . Both Fig.4 and Fig.5 show that the number of Newton iterations varies in a narrow range with a given N . All these observations validate that our proposed algorithm is effective and efficient. We also give the time cost of our proposed algorithm and the standard one which computes Newton step by matrix inversion directly.

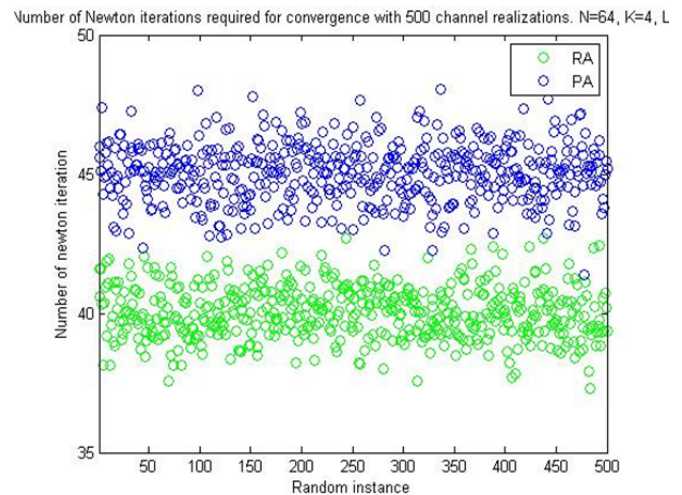


Fig. 4. Number of Newton iterations required for convergence with 500 channel realizations. $N=64, K=4, L=2$.

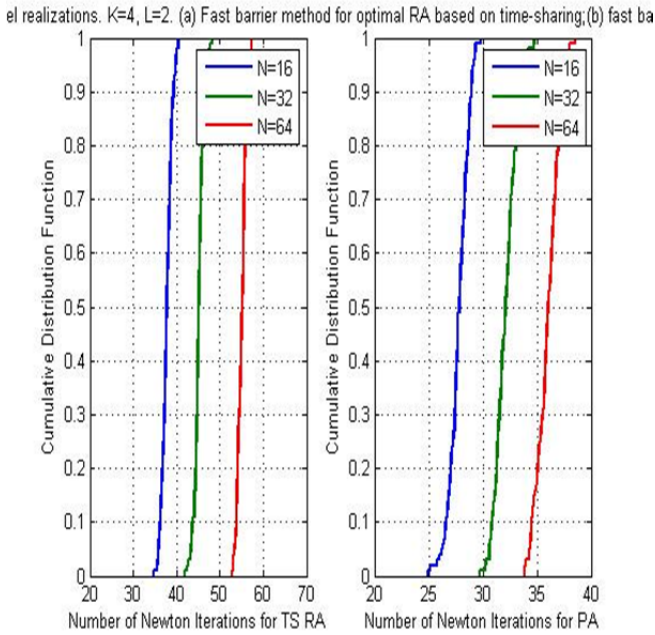


Fig. 5. CDF of the number of Newton iterations required for convergence for 1000 channel realizations. $K=4, L=2$. (a) Fast barrier method for optimal RA based on time-sharing; (b) fast barrier method for optimal power allocation.

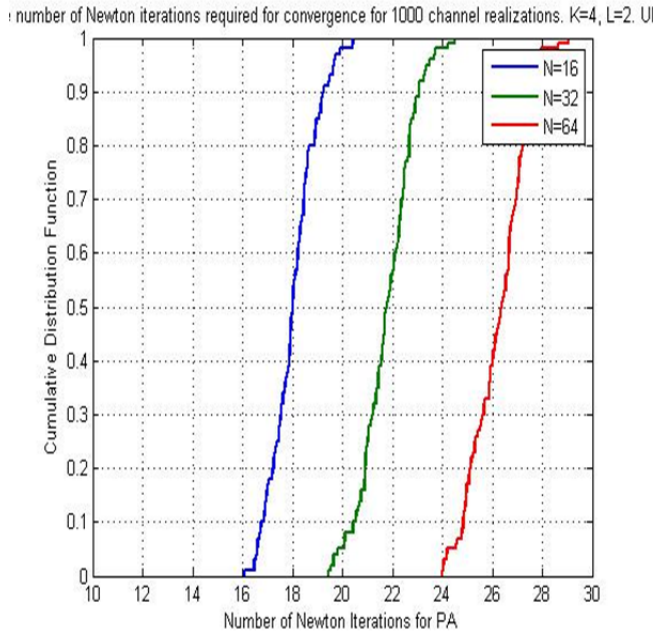


Figure 6.2: Newton Iterations with 1000 Channels.

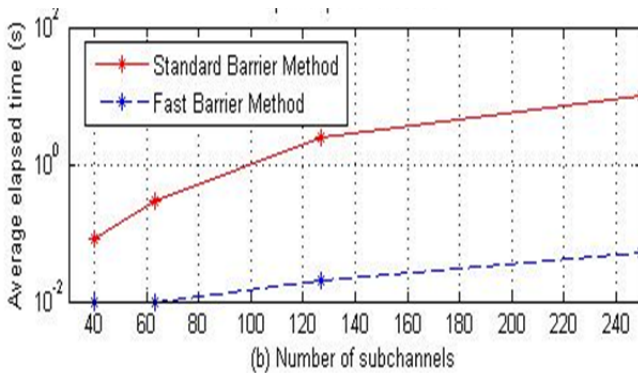
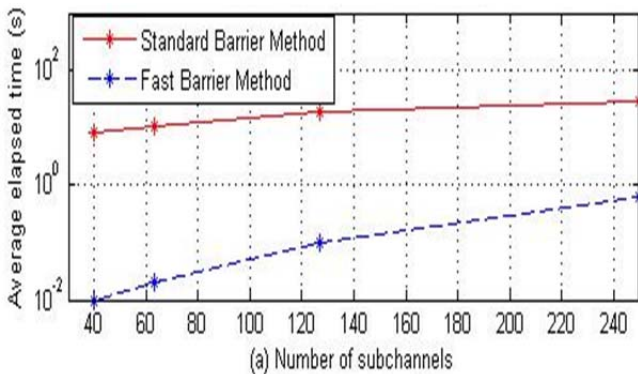


Figure 6.1: Average time cost as a function of the number of sub-channels. (a) Optimal RA based on time-sharing; (b) optimal power allocation.

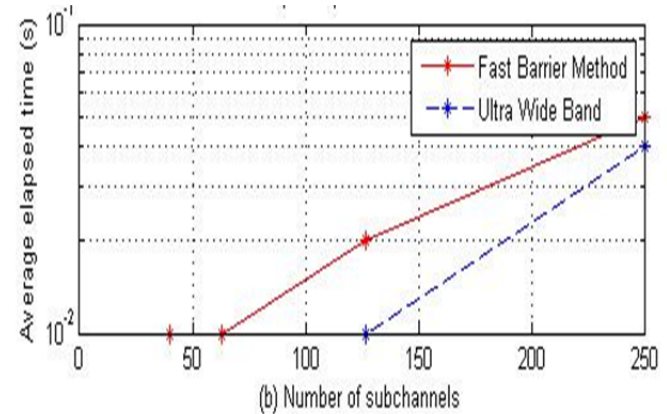
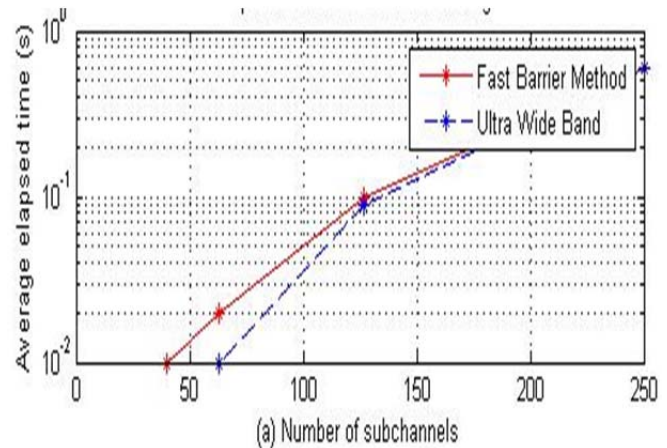


Figure 6.3: Optimal Power Allocation for Fast Barrier Method, Ultra Wide Band.

V. CONCLUSION

We studied the energy-efficient resource allocation in an OFDM-based CR network, which is an urgent task for green communication design. Our model is general and covers many practical constraints, leading to an intractable mixed integer programming problem. We perform a series of equivalent transformations by analyzing the formulated problem intensively, converting it into a convex optimization problem which can be solved by standard optimization technique.

Furthermore, we develop an efficient algorithm to work out the (near) optimal solution by exploiting its special structure to update Newton step in an ingenious way, reducing the computation complexity dramatically and making its applications possible. Numerical results show that our resource allocation proposal can achieve near optimal energy efficiency, while the algorithm developed in this paper converges quickly and stably.

For future work, imperfect channel state information case should be considered. Efficient heuristic methods with lower complexity are also promising for this real-time optimization problem, especially for the sub-channel assignment that introduces intractable integer variables.

By adopting UWB we are improving the energy efficiency by which the durability of device increases and power consumption is decreases which are very important in the field of Telecommunication Industry.

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